# Statement of Financial Interests For Regional Fishery Management Council Voting Members, Nominees and Members of a Scientific and Statistical Committee

Who needs to file a financial disclosure?

You, because you were nominated by the Governor of a state or appointed by the Secretary of Commerce to serve as a voting member of a Regional Fishery Management Council (Council); or you are a nominee to or a member of a Scientific and Statistical Committee (SSC).

What is the purpose of a financial disclosure?

The duties and responsibilities of your position require you to file the Statement of Financial Interests to fulfill the requirements of the Magnuson-Stevens Act. The purpose of this report is to assist you and NMFS in avoiding conflicts between official duties and private financial interests or affiliations. You may not vote on any Council decision that would have a significant and predictable effect on a financial interest disclosed in your report. Under penalty of law, please ensure that the information you provide is complete and accurate.

What must I disclose on this form?

The Magnuson-Stevens Act requires the disclosure of any financial interest in harvesting, processing, lobbying, advocacy, or marketing activity that is being, or will be, undertaken within any fishery over which a Council has jurisdiction. This also includes employment with an entity that provides consulting, legal, or representational services relating to harvesting, processing, lobbying, advocacy, or marketing activities. You must disclose such financial interest held by yourself; your spouse, minor child, business partner; or any organization (other than the Council) in which you are serving as an officer, director, trustee, business partner, or employee. This does not include financial interests in entities engaging in scientific fisheries research.

When do I need to file my financial disclosure?

**Nominees:** This form must be filed by each nominee for Secretarial appointment with the Assistant Administrator by April 15 or, if nominated after March 15, 1 month after nomination by the Governor.

Members: A seated voting member appointed by the Secretary must file this form with the Executive Director of the appropriate Council within 45 days of taking office; and must file an update with the Executive Director of the appropriate Council within 30 days of the time any such financial interest is acquired or substantially changed. All Council members must file this form annually by February 1<sup>st</sup> regardless of whether any information on the form has changed.

**SSC Nominees and Members:** Nominees to an SSC must file this form with the Regional Administrator for the geographic area concerned within 45 prior to appointment; and must file an update with the Regional Administrator for the geographic area concerned within 30 days of the time any such financial interest is acquired or substantially changed. All SSC members must file this form annually by February 1<sup>st</sup> regardless of whether any information on the form has changed.

Questions?

If you have any questions about how to complete this form, please contact your Council Executive Director, NMFS Regional Office or the NOAA General Counsel for your Council.

# **PENALTIES**

Knowing and willful falsification of information required to be reported may subject you to criminal prosecution or subject you to civil penalties. It is unlawful for an affected individual to knowingly and willfully fail to disclose, or to falsely disclose, any financial interest as required by the Magnuson Stevens Act, or to knowingly vote on a Council decision in violation of this Act. In addition to the criminal penalties applicable, a violation of this provision may result in removal from Council or SSC membership.

#### STATEMENT OF FINANCIAL INTERESTS

Nominee or Member's Nam	ne ( <i>Print last, first, mi</i>	ddle initial) CAO JIE
Name of Council or Council	I Committee SSC, Gu	If of Mexico Fishery Management Council
Type of Appointment (list N	Nominee or Member)	Nominee
Type of Filing: □Annual	☐ Supplemental	■ Nominee
Instructions		

- 1. Carefully read the questions for Parts 1-3 on the following pages.
- 2. In Part 1, you must answer "yes" or "no" for each question provided. If you select "Yes," check the box by any applicable financial interest from the list below the question and provide the appropriate detail explaining your selection. If you need more room to provide detail, please attach those pages to this form.
- 3. In Part 2, you must answer "yes" or "no" for each question provided. If you select "Yes." check the box by any applicable financial interest from the list below the question and provide the appropriate detail explaining your selection. If you need more space to provide detail, please attach those pages to this form.
- 4. In Part 2, if you select "I have no spouse, business partner, or minor children," you may skip the rest of Part 2 and move to Part 3. Answer Part 3, if necessary.
- 5. After you have completed the form, sign and date the form on the last page.
- 6. Submit the completed form to NMFS for Council nominees; to your Council Executive Director for seated Council members; or to the Regional Administrator for the geographic area concerned for SSC members or nominees

#### Paperwork Reduction Act

Notwithstanding any other provision of law, no person is required to respond to nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a currently valid OMB Control Number. The public reporting burden for this information, on this NOAA Form 88-195, is estimated to average 35 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any aspect of this collection of information, including suggestions for reducing this burden to the National Marine Fisheries Service, Office of the Chief Information Officer (F/CIO), 1315 East-West Highway, SSMC #3, 3rd Floor, Silver Spring, Maryland 20910.

#### Public Access to Information

This form, as completed by seated members of the Regional Fishery Management Councils, will be retained by the Council, made available on the Council's websites, and made available for public review at reasonable hours at the Council's Office and at each public hearing or public meeting.

#### Part 1: Personal Financial Interest

Section 1.1: A	ets and Ownership
Question 1.1.1	
Do you have any s	ck, equity or ownership interest (whole or partial ownership) in a company or business engaged in
any of the following	activities in any fishery under the jurisdiction of the Council concerned?
(a) No	

No
Yes
Check all that apply:
Harvesting
Processing
Marketing
Provides equipment essential to any of the listed activities
Provides other services essential to any of the listed activities

Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the company or business. Please include company or business name, date the interest began, and fishery or fisheries of interest.

#### Ouestion 1.1.2

Do you have any **stock, equity or ownership interest** (whole or partial ownership) in any **fishing vessel** engaged in any of the following activities in any fishery under the jurisdiction of the Council concerned?

No
 Yes

Check all that apply:

☐ Harvesting

□ Processing

□ Marketing

Provides equipment essential to any of the listed activities

☐ Provides other services essential to any of the listed activities

Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the vessel. Please include vessel name, company or business name, date the interest began, gear type and fishery or fisheries of interest.

☐ Advocacy

Do you ha		y stock, equity				erest (whole or partial ownership) in any other entity engaged in any of
		tivities in any i	tisher	y under	the j	urisdiction of the Council concerned?
● V						
OY						
C		all that apply:				
		Harvesting				
		Processing				
		Marketing				
						to any of the listed activities
		Provides oth	ier se	rvices es	senti	al to any of the listed activities
share h	olding	d describe each s or any other n, and fishery	mon	etary or	in-ki	est, as indicated above. Please include the percentage ownership or and enumeration of the entity. Please include entity name, date the rest.
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provides a	ny of No 'es Check o	stock, equity the following s all that apply:	ervic	es for an	y fish	erest (whole or partial) in a company, business, or other entity that nery under the jurisdiction of the Council concerned?
Do you ha provides a	ive any any of No 'es Check o	stock, equity the following s	ervic	ces for an  Consult Legal	y fish	erest (whole or partial) in a company, business, or other entity that nery under the jurisdiction of the Council concerned?
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☐ Provides other services essential to any of the listed activities

share holdings	describe each applicable interest, as indicated above. Please include the percentage ownership or or any other monetary or in-kind enumeration of the entity. Please include company or business interest began, and fishery or fisheries of interest.
Section 1.2: E	mployment
under the jurisdic	<b>loyment</b> with any <b>company or business</b> engaged in any of the following activities in any fishery tion of the Council concerned?
	describe each applicable interest, as indicated above. Please include beginning date of company or business name, and fishery or fisheries of interest.
jurisdiction of the No No Yes Check all that ap	oloyment with a <b>fishing vessel</b> engaged in any of the following activities in any fishery under the e Council concerned?
	d describe each applicable interest, as indicated above. Please include beginning date it, vessel name, gear type, and fishery or fisheries of interest.

# Question 1.2.3

urisdictio	
Please of emp	st and describe each applicable interest, as indicated above. Please include beginning date syment, entity name, and fishery or fisheries of interest.
Questio Do you ha fishery ur O n Check all	e employment with <b>any company, business, or other entity</b> that provides any of the following services for a er the jurisdiction of the Council concerned?
Please of emp	t and describe each applicable interest, as indicated above. Please include beginning date yment, entity name, and fishery or fisheries of interest.
urisdictio  N Y	employment with any entity that either owns (wholly or partially) or is owned by (wholly or partially) ity that is engaged in or that provides any of the following activities or services for any fishery under the of the Council concerned?

ther entities that the Council core No Yes Check a	loyment with an associative engaged in any of accreed?  If that apply: Harvesting Processing Lobbying Advocacy Marketing Provides equipment es	sation or organization whose members include companies, vessels, or the following activities or services for any fishery under the jurisdiction  Consulting Legal Representational Services ssential to any of the listed activities s essential to any of the listed activities
o you have emp ther entities that the Council cor No Yes Check ai	loyment with an associative engaged in any of accred?  If that apply: Harvesting Processing Lobbying Advocacy Marketing Provides equipment es Provides other services  I describe each applicable	the following activities or services for any fishery under the jurisdiction  Consulting  Legal  Representational Services  ssential to any of the listed activities
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Please list and	Provides equipment es Provides other services I describe each applicab	
Please list and	Provides other services	
Please list and	l describe each applicab	s essential to any of the listed activities
Section 1.3:	Other Service witl	h an association or organization
essels, or other	as an officer, director, o	or trustee of any organization or association whose members include compared in or provide any of the following activities or services for any fishery unded?
	Il that apply:	
		nsulting
	Processing Leg	
		presentational Services
	Advocacy	
	Marketing	
	Provides equipment es	ssential to any of the listed activities
	Provides other service	s essential to any of the listed activities
Please list and entity name, o	l describe each applicab and fishery or fisheries o	ble interest, as indicated above. Please include beginning date of service, of interest.

# Part 2: Financial Interest of Spouses, Business Partners, and Minor Children

If you have no spouse, business partner, or minor children, check the box below and proceed to Part 3.

■ I have no spouse, business partner, or minor children

Section 2.1: Assets and Ownershi	р
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occuon a	11. Assets and Ownership					
Question						
wnership)	loes your spouse, business partner, or minor child have any <b>stock, equity or ownership interest</b> (whole or partial wnership) in a <b>company or business</b> engaged in any of the following activities for any fishery under the jurisdiction he Council concerned?					
O No						
0						
O Ye	a war a said					
Ch	ck all that apply:					
	☐ Harvesting ☐ Processing					
	☐ Marketing					
	□ Provides equipment essential to any of the listed activities					
	Provides equipment essential to any of the listed activities					
Please lis	and describe each applicable interest, as indicated above. Please include the percentage ownership or					
	lings or any other monetary or in-kind enumeration of the company or business. Please include					
	or business name, date the interest began, and fishery or fisheries of interest.					
uestion	117					
	ouse, business partner, or minor child have any stock, equity or ownership interest (whole or					
	ouse, business partner, or minor child have any stock, equity or ownership interest (whole or y fishing vessel engaged in any of the following activities for any fishery under the jurisdiction of the					
council con						
O No	and a second sec					
O Yes						
	ck all that apply:					
Crit	☐ Harvesting					
	□ Processing					
	☐ Marketing					
	☐ Provides equipment essential to any of the listed activities					
	□ Provides other services essential to any of the listed activities					
	and describe each applicable interest, as indicated above. Please include the percentage ownership or					
	ings or any other monetary or in-kind enumeration of the vessel. Please include vessel name,					
company	r business name, date the interest began, gear type, and fishery or fisheries of interest.					

10001011100-153	Expiration Date 8/31/26
Question 2.1.3  Does your spouse, business partner, or mind	or child have any stock, equity or ownership interest (whole or partial)
	lowing activities for any fishery under the jurisdiction of the Council
○ No	
O Yes	
Check all that apply:	
☐ Harvesting ☐ Processing	
☐ Marketing	
	tial to any of the listed activities
☐ Provides other services es	sential to any of the listed activities
	sterest, as indicated above. Please include the percentage ownership or in-kind enumeration of the entity. Please include entity name, date the interest.
Question 2.1.4	
	or child have any stock, equity or ownership interest (wholly or
partially) in a company, business, or other e the jurisdiction of the Council concerned?	ntity that provides any of the following services for any fishery under
O No	
O Yes	
Check all that apply:	
☐ Consulting	
□ Legal	
☐ Representational Services	
☐ Lobbying ☐ Advocacy	
	nterest, as indicated above. Please include the percentage ownership or in-kind enumeration of the entity. Please include entity name, date the
interest began, and fishery or fisheries of	
merest began, and junery or junerical of	
Question 2.1.5	
	or child have any stock, equity or ownership interest in any entity that
	ed by (wholly or partially) another entity that is engaged in or that ervices for any fishery under the jurisdiction of the Council concerned?
O No	
O Yes	
Check all that apply:	
□ Consulting	☐ Harvesting
□ Legal	□ Processing
<ul> <li>Representational Services</li> </ul>	☐ Marketing
□ Lobbying	☐ Provides equipment essential to any of the listed activities

Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the entity. Please include entity name, date the interest began, and fishery or fisheries of interest.

□ Advocacy

Provides equipment essential to any of the listed activities

Provides other services essential to any of the listed activities

# Section 2.2 Employment of Spouse, Business Partner, or Minor Child

Question 2.2.1	
	business partner, or minor child have employment with any company or business engaged in any
of the following ac	tivities for any fishery under the jurisdiction of the Council concerned?
O No	and any mater the jurisdiction of the council concerned?
O Yes	
	that apply:
	Harvesting
	Processing
	Marketing
_ F	Provides equipment essential to any of the listed activities
	Provides other services essential to any of the listed activities
Please list person beginning date o	and relationship and describe each applicable interest, as indicated above. Please include f employment, company or business name, and fishery or fisheries of interest.
Question 2.2.2	
Does your spouse,	business partner, or minor child have employment with a fishing vessel engaged in any of the
following activities	for any fishery under the jurisdiction of the Council concerned?
O No	
O Yes	
	that apply:
	Harvesting
	Processing
	Marketing
	Provides equipment essential to any of the listed activities
	Provides other services essential to any of the listed activities
	Total College
	n and relationship and describe each applicable interest, as indicated above. Please include of employment, vessel name, gear type, and fishery or fisheries of interest.
Ouestion 2.2.3	
	business partner, or minor child have employment with any other entity engaged in any of the
	for any fishery under the jurisdiction of the Council concerned?
O No	for any namely under the jurisdiction of the counter concerned.
O Yes	
Check all t	that apply:
□Har	vesting Processing
	rketing
	vides equipment essential to any of the listed activities
Pro	vides other services essential to any of the listed activities
Please list nerson	n and relationship and describe each applicable interest, as indicated above. Please include
	of employment, entity name, and fishery or fisheries of interest.
and and a series	,,,,,,,,

O No O Yes	or the followii	ng servic	es for any fish	d have employment with any company, business, or other entity the ery under the jurisdiction of the Council concerned?
O Yes				
Check	k all that appl	y: 🗆	Consulting	
	☐ Lobbying		Legal	
	☐ Advocacy	, ,	Representatio	nal Services
Diana Usta				
rease list po reginning di	ate of employ	ment, e	p and describe ntity name, an	each applicable Interest, as indicated above. Please include ad fishery or fisheries of Interest.
uestion 2.	2.5			
oes your spo artially) or is	ouse, business s owned by (v	vholly o	partially) ano	d have employment with any entity that either owns (wholly or ther entity engaged in or that provides any of the following activitie f the Council concerned?
_	any namery u	aruet till	gurisdiction of	Harvesting
O No				
O Yes				Processing Lobbying
Chec	k all that app	ly:		Advocacy
	□ Consultin	g		Marketing
	□ Legal			Provides equipment essential to any of the listed activities
	☐ Represen	tational		Provides other services essential to any of the listed activities
uestion 2	2.6			
embers incl		es, vesse	els, or other en	d have employment with an association or organization whose tities engaged in any of the following activities in any fishery under t
O No				
O No O Yes	hall that are	her		
O No O Yes Chec	k all that app	*	Consulting	
O No O Yes Chec	☐ Harvestin	ng 🗆	Consulting	
O No O Yes Chec	☐ Harvestin☐ Processin	ng 🗆	Legal	anal Senires
O No O Yes Chec	☐ Harvestin☐ Processin☐ Lobbying	ng 🗆		onal Services
O No O Yes Chec	☐ Harvestin☐ Processin☐ Lobbying☐ Advocacy	ng 🗆	Legal	onal Services
O No O Yes Chec	☐ Harvestin☐ Processin☐ Lobbying☐ Advocacy☐ Marketin☐	ng 🗆	Legal Representation	
O No O Yes Chec	☐ Harvestin☐ Processin☐ Lobbying☐ Advocacy☐ Marketin☐ Provides	ng   ng   ng   ng   ng   ng   ng   ng	Legal Representation	any of the listed activities
O No O Yes Chec	Harvestin Processin Lobbying Advocacy Marketin Provides Provides	ng   ng   ng   ng   ng   ng   ng   ng	Legal Representation ent essential to rvices essentia	any of the listed activities I to any of the listed activities
O No O Yes Chec	Harvestin Processin Lobbying Advocacy Marketin Provides Provides	ng   ng   ng   ng   ng   ng   ng   ng	Legal Representation ent essential to rvices essential ship and descri	any of the listed activities If to any of the listed activities ibe each applicable interest, as indicated above. Please include
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O No O Yes Chec	Harvestin Processin Lobbying Advocacy Marketin Provides Provides	ng   ng   ng   ng   ng   ng   ng   ng	Legal Representation ent essential to rvices essential ship and descri	any of the listed activities If to any of the listed activities  ibe each applicable interest, as indicated above. Please include

### Section 2.3: Service with an association or organization by Spouse, Business Partner, or Minor Child

Que	SUOI	1 4.3.
Does	your	spouse

e, business partner, or minor child serve as an officer, director, or trustee of any organization or association whose members include companies, vessels, or other entities engaged in any of the following activities in any fishery under the jurisdiction of the Council concerned?

ONO Oyes

Check all that apply:

☐ Harvesting ☐ Processing

□ Consulting □ Legal

□ Lobbying

Representational Services

□ Advocacy □ Marketing

 Provides equipment essential to any of the listed activities Provides other services essential to any of the listed activities

Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of service, entity name, and fishery or fisheries of interest.

# Part 3: Information Requested of Other Persons

In the event any of the required information, including holdings placed in trust or percentage ownership, is not known to you but is known to another person, you should request that other person to submit the information on your behalf and should report such request in the space below.

Please report to whom you have requested information for Part 3. When available, have that person please list and describe each applicable interest. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration. Please include entity name, date the interest began, and fisheries of interest.

# Signature

I certify that the statements I have made on this form and all attached statements are true, complete, and correct to the best of my knowledge.

05/29/2024