# Statement of Financial Interests For Regional Fishery Management Council Voting Members, Nominees and Members of a Scientific and Statistical Committee

Who needs to file a financial disclosure?

You, because you were nominated by the Governor of a state or appointed by the Secretary of Commerce to serve as a voting member of a Regional Fishery Management Council (Council); or you are a nominee to or a member of a Scientific and Statistical Committee (SSC).

What is the purpose of a financial disclosure?

The duties and responsibilities of your position require you to file the Statement of Financial Interests to fulfill the requirements of the Magnuson-Stevens Act. The purpose of this report is to assist you and NMFS in avoiding conflicts between official duties and private financial interests or affiliations. You may not vote on any Council decision that would have a significant and predictable effect on a financial interest disclosed in your report. Under penalty of law, please ensure that the information you provide is complete and accurate.

What must I disclose on this form?

The Magnuson-Stevens Act requires the disclosure of any financial interest in harvesting, processing, lobbying, advocacy, or marketing activity that is being, or will be, undertaken within any fishery over which a Council has jurisdiction. This also includes employment with an entity that provides consulting, legal, or representational services relating to harvesting, processing, lobbying, advocacy, or marketing activities. You must disclose such financial interest held by yourself; your spouse, minor child, business partner; or any organization (other than the Council) in which you are serving as an officer, director, trustee, business partner, or employee. This does not include financial interests in entities engaging in scientific fisheries research.

When do I need to file my financial disclosure?

**Nominees:** This form must be filed by each nominee for Secretarial appointment with the Assistant Administrator by April 15 or, if nominated after March 15, 1 month after nomination by the Governor.

**Members:** A seated voting member appointed by the Secretary must file this form with the Executive Director of the appropriate Council within 45 days of taking office; and must file an update with the Executive Director of the appropriate Council within 30 days of the time any such financial interest is acquired or substantially changed. All Council members must file this form annually by February 1<sup>st</sup> regardless of whether any information on the form has changed.

**SSC Nominees and Members:** Nominees to an SSC must file this form with the Regional Administrator for the geographic area concerned within 45 prior to appointment; and must file an update with the Regional Administrator for the geographic area concerned within 30 days of the time any such financial interest is acquired or substantially changed. All SSC members must file this form annually by February 1<sup>st</sup> regardless of whether any information on the form has changed.

Questions?

If you have any questions about how to complete this form, please contact your Council Executive Director, NMFS Regional Office or the NOAA General Counsel for your Council.

### **PENALTIES**

Knowing and willful falsification of information required to be reported may subject you to criminal prosecution or subject you to civil penalties. It is unlawful for an affected individual to knowingly and willfully fail to disclose, or to falsely disclose, any financial interest as required by the Magnuson Stevens Act, or to knowingly vote on a Council decision in violation of this Act. In addition to the criminal penalties applicable, a violation of this provision may result in removal from Council or SSC membership.

#### STATEMENT OF FINANCIAL INTERESTS

Nominee or Member's Name ( <i>Print last, first, middle initial</i> ) <u>FRAZER, TX6 M1</u>	15, K
Name of Council or Council Committee	,
Type of Appointment (list Nominee or Member)	
Type of Filing: ☑Annual ☐ Supplemental ☐ Nominee	

#### Instructions

- Carefully read the questions for Parts 1-3 on the following pages.
- In Part 1, you must answer "yes" or "no" for each question provided. If you select "Yes," check the box
  by any applicable financial interest from the list below the question and provide the appropriate detail
  explaining your selection. If you need more room to provide detail, please attach those pages to this
  form.
- 3. In Part 2, you must answer "yes" or "no" for each question provided. If you select "Yes," check the box by any applicable financial interest from the list below the question and provide the appropriate detail explaining your selection. If you need more space to provide detail, please attach those pages to this form.
- In Part 2, if you select "I have no spouse, business partner, or minor children," you may skip the rest of Part 2 and move to Part 3. Answer Part 3, if necessary.
- 5. After you have completed the form, sign and date the form on the last page.
- Submit the completed form to NMFS for Council nominees; to your Council Executive Director for seated Council members; or to the Regional Administrator for the geographic area concerned for SSC members or nominees.

#### **Paperwork Reduction Act**

Notwithstanding any other provision of law, no person is required to respond to nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a currently valid OMB Control Number. The public reporting burden for this information, on this NOAA Form 88-195, is estimated to average 35 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any aspect of this collection of information, including suggestions for reducing this burden to the National Marine Fisheries Service, Office of the Chief Information Officer (F/CIO), 1315 East-West Highway, SSMC #3, 3<sup>rd</sup> Floor, Silver Spring, Maryland 20910.

#### **Public Access to Information**

This form, as completed by seated members of the Regional Fishery Management Councils, will be retained by the Council, made available on the Council's websites, and made available for public review at reasonable hours at the Council's Office and at each public hearing or public meeting.

### **Part 1: Personal Financial Interest**

Section	1.1:	Assets	and	Owner	rship
DOCTOR					

section 1.1: Assets	and Ownership	
No  Yes  Check all that ap  Harves  Process  Market	sting sing	aged in
share holdings or any	be each applicable interest, as indicated above. Please include the percentage ownersh other monetary or in-kind enumeration of the company or business. Please include name, date the interest began, and fishery or fisheries of interest.	nip or
No Yes Check all that apply:  Harves Proces.  Market	sing	
share holdings or any	be each applicable interest, as indicated above. Please include the percentage owners to other monetary or in-kind enumeration of the vessel. Please include vessel name, name, date the interest began, gear type and fishery or fisheries of interest.	hip or

Question 1.1.3	
Do you have any stock, equity or ownership interest (whole or partial ownership) in any other	entity engaged in any of
the following activities in any fishery under the jurisdiction of the Council concerned?	
O Yes	
Check all that apply:	
☐ Harvesting	
□ Processing	
☐ Marketing	
Provides equipment essential to any of the listed activities	
<ul> <li>Provides other services essential to any of the listed activities</li> </ul>	
Please list and describe each applicable interest, as indicated above. Please include the per share holdings or any other monetary or in-kind enumeration of the entity. Please include interest began, and fishery or fisheries of interest.	The state of the s
Question 1.1.4  Do you have any stock, equity or ownership interest (whole or partial) in a company, business, provides any of the following services for any fishery under the jurisdiction of the Council concess No  Yes  Check all that apply:  Lobbying  Legal  Advocacy  Representational Services	
Please list and describe each applicable interest, as indicated above. Please include the per share holdings or any other monetary or in-kind enumeration of the entity. Please include interest began, and fishery or fisheries of interest.	
Overhior 1.1.5	
Question 1.1.5  Do you have any stock, equity or ownership interest in any entity that either owns (wholly o	r nartially) or is owned by
(wholly or partially) another entity that is engaged in or that provides any of the following a fishery under the jurisdiction of the Council concerned?  No  Yes	
Check all that apply:	
□ Consulting □ Harvesting	
☐ Legal ☐ Processing	
Representational Services Marketing	isted activities
<ul><li>□ Lobbying</li><li>□ Provides equipment essential to any of the li</li><li>□ Advocacy</li><li>□ Provides other services essential to any of the</li></ul>	
Advocacy Trovides other services essential to any of the	e nateu activities

Expiration Date 8/31/26

share holdings	describe each applicable interest, as indicated above. Please include the percentage ownership or or any other monetary or in-kind enumeration of the entity. Please include company or business e interest began, and fishery or fisheries of interest.
Section 1.2: I	Employment
under the jurisdie	loyment with any company or business engaged in any of the following activities in any fishery ction of the Council concerned?
	Provides equipment essential to any of the listed activities  Processing Provides other services essential to any of the listed activities  Marketing
The second secon	describe each applicable interest, as indicated above. Please include beginning date of company or business name, and fishery or fisheries of interest.
	2 bloyment with a <b>fishing vessel</b> engaged in any of the following activities in any fishery under the e Council concerned?
Check all that app	Harvesting Processing Marketing Provides equipment essential to any of the listed activities Provides other services essential to any of the listed activities
	describe each applicable interest, as indicated above. Please include beginning date t, vessel name, gear type, and fishery or fisheries of interest.

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	☐ Marketin					
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	4.0.4					
Question						
				er entity tha	t provides any of the fo	ollowing services for any
		on of the Council con	cerned?			
💢 No	)					
O Ye	S					
Check all th	at apply:					
		Consulting				
		Legal				
-	Lobbying	□ Logal	nal Services			
Please lis	☐ Lobbying ☐ Advocacy	Legal Representation		ed above. P	ease include beginning	g date
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		olicable interest, as indicated above. Please include beginning date d fishery or fisheries of interest.
3 3 2		
Question 1.2.0		
		ssociation or organization whose members include companies, vessels, or
		ny of the following activities or services for any fishery under the jurisdiction
of the Council co	ncerned?	
No Yes		
O Yes	ll that apply:	
	Harvesting	Consulting
	Processing	Legal
	Lobbying	Representational Services
	Advocacy	
	Marketing	
	550 5	ent essential to any of the listed activities
	Provides other se	rvices essential to any of the listed activities
The second secon	The state of the s	olicable interest, as indicated above. Please include beginning date are, and fishery or fisheries of interest.
Section 1.3:	Other Service	with an association or organization
vessels, or other the jurisdiction o	s an officer, direct	cor, or trustee of any organization or association whose members include companies agaged in or provide any of the following activities or services for any fishery under erned?
O Yes	I that apply:	
	Harvesting	Consulting
	Processing	Legal
	Lobbying	Representational Services
	Advocacy	Section 1 Committee Section (Section Section S
	Marketing	
		nt essential to any of the listed activities
	Provides other ser	rvices essential to any of the listed activities
	describe each app nd fishery or fishei	licable interest, as indicated above. Please include beginning date of service, ries of interest.

### Part 2: Financial Interest of Spouses, Business Partners, and Minor Children

If you have no spouse, business partner, or minor children, check the box below and proceed to Part 3.  I have no spouse, business partner, or minor children
Section 2.1: Assets and Ownership
Question 2.1.1  Does your spouse, business partner, or minor child have any stock, equity or ownership interest (whole or partial ownership) in a company or business engaged in any of the following activities for any fishery under the jurisdiction of the Council concerned?  No  Yes  Check all that apply:  Harvesting Processing Marketing Provides equipment essential to any of the listed activities Provides other services essential to any of the listed activities
Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the company or business. Please include company or business name, date the interest began, and fishery or fisheries of interest.
Question 2.1.2  Does your spouse, business partner, or minor child have any stock, equity or ownership interest (whole or partial) in any fishing vessel engaged in any of the following activities for any fishery under the jurisdiction of the Council concerned?  No  Yes  Check all that apply:  Harvesting Processing Marketing Provides equipment essential to any of the listed activities Provides other services essential to any of the listed activities
Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the vessel. Please include vessel name, company or business name, date the interest began, gear type, and fishery or fisheries of interest.

Question 2.1.3  Does your spouse, business partner, or minor child have any stock, equity or ownership interest (whole or partial) in any other entity engaged in any of the following activities for any fishery under the jurisdiction of the Council concerned?  No  Yes  Check all that apply:  Harvesting  Processing  Marketing  Provides equipment essential to any of the listed activities  Provides other services essential to any of the listed activities
Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the entity. Please include entity name, date the interest began, and fishery or fisheries of interest.
Question 2.1.4  Does your spouse, business partner, or minor child have any stock, equity or ownership interest (wholly or partially) in a company, business, or other entity that provides any of the following services for any fishery under the jurisdiction of the Council concerned?  No  Yes  Check all that apply:  Consulting  Legal  Representational Services  Lobbying  Advocacy
Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the entity. Please include entity name, date the interest began, and fishery or fisheries of interest.
Question 2.1.5  Does your spouse, business partner, or minor child have any stock, equity or ownership interest in any entity that either owns (wholly or partially) or is owned by (wholly or partially) another entity that is engaged in or that provides any of the following activities or services for any fishery under the jurisdiction of the Council concerned?  No  Yes  Check all that apply:  Consulting  Harvesting  Processing  Representational Services  Marketing  Dobbying  Provides equipment essential to any of the listed activities  Advocacy  Provides other services essential to any of the listed activities
Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the entity. Please include entity name, date the interest began, and fishery or fisheries of interest.

Section 2.2 Employment of Spouse, Business Partner, or Minor Child
Question 2.2.1 Does your spouse, business partner, or minor child have employment with any company or business engaged in any of the following activities for any fishery under the jurisdiction of the Council concerned?  No  Yes  Check all that apply:  Harvesting Processing Marketing Provides equipment essential to any of the listed activities Provides other services essential to any of the listed activities
Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of employment, company or business name, and fishery or fisheries of interest.
Question 2.2.2  Does your spouse, business partner, or minor child have employment with a fishing vessel engaged in any of the following activities for any fishery under the jurisdiction of the Council concerned?  No  Yes  Check all that apply:  Harvesting Processing Marketing Provides equipment essential to any of the listed activities Provides other services essential to any of the listed activities
Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of employment, vessel name, gear type, and fishery or fisheries of interest.
Question 2.2.3  Does your spouse, business partner, or minor child have employment with any other entity engaged in any of the following activities for any fishery under the jurisdiction of the Council concerned?  No  Yes  Check all that apply:  Harvesting Processing  Marketing

Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of employment, entity name, and fishery or fisheries of interest.

Provides equipment essential to any of the listed activities Provides other services essential to any of the listed activities

Expiration Date 6/31/26
Question 2.2.4  Does your spouse, business partner, or minor child have employment with any company, business, or other entity that provides any of the following services for any fishery under the jurisdiction of the Council concerned?  No
<ul> <li>✓ Yes</li> <li>Check all that apply: ☐ Consulting</li> <li>☐ Lobbying ☐ Legal</li> <li>☐ Advocacy ☐ Representational Services</li> </ul>
Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of employment, entity name, and fishery or fisheries of interest.
Question 2.2.5
Does your spouse, business partner, or minor child have employment with any entity that either owns (wholly or
partially) or is owned by (wholly or partially) another entity engaged in or that provides any of the following activities or services for any fishery under the jurisdiction of the Council concerned?
M No Harvesting
Processing
Check all that apply:  Advocacy
☐ Consulting ☐ Marketing
☐ Legal ☐ Provides equipment essential to any of the listed activities
☐ Representational Services ☐ Provides other services essential to any of the listed activities
Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of employment, entity name, and fishery or fisheries of interest.
Question 2.2.6  Does your spouse, business partner, or minor child have employment with an association or organization whose members include companies, vessels, or other entities engaged in any of the following activities in any fishery under the jurisdiction of the Council concerned?  No  Yes
Check all that apply:
☐ Harvesting ☐ Consulting ☐ Processing ☐ Legal
☐ Lobbying ☐ Representational Services
☐ Advocacy
<ul> <li>Marketing</li> <li>Provides equipment essential to any of the listed activities</li> </ul>
Provides equipment essential to any of the listed activities  Provides other services essential to any of the listed activities
Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of employment, association name, and fishery or fisheries of interest.

## Section 2.3: Service with an association or organization by Spouse, Business Partner, or Minor Child

	include com	ninor child serve as an officer, director, or trustee of any organization or panies, vessels, or other entities engaged in any of the following activities in an
No OYes	i of the coun	cii concerned?
Check all that apply  Harvesting  Processing	· -	Consulting

Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of service, entity name, and fishery or fisheries of interest.

□ Representational Services

Provides equipment essential to any of the listed activities
 Provides other services essential to any of the listed activities

#### Part 3: Information Requested of Other Persons

Lobbying

□ Advocacy□ Marketing

In the event any of the required information, including holdings placed in trust or percentage ownership, is not known to you but is known to another person, you should request that other person to submit the information on your behalf and should report such request in the space below.

Please report to whom you have requested information for Part 3. When available, have that person please list and describe each applicable interest. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration. Please include entity name, date the interest began, and fisheries of interest.

### **Signature**

I certify that the statements I have made on this form and all attached statements are true, complete, and correct to the best of my knowledge.

Signature

Date (mm/dd/yyyy)