# Statement of Financial Interests For Regional Fishery Management Council Voting Members, Nominees and Members of a Scientific and Statistical Committee

Who needs to file a financial disclosure?

You, because you were nominated by the Governor of a state or appointed by the Secretary of Commerce to serve as a voting member of a Regional Fishery Management Council (Council); or you are a nominee to or a member of a Scientific and Statistical Committee (SSC).

What is the purpose of a financial disclosure?

The duties and responsibilities of your position require you to file the Statement of Financial Interests to fulfill the requirements of the Magnuson-Stevens Act. The purpose of this report is to assist you and NMFS in avoiding conflicts between official duties and private financial interests or affiliations. You may not vote on any Council decision that would have a significant and predictable effect on a financial interest disclosed in your report. Under penalty of law, please ensure that the information you provide is complete and accurate.

What must I disclose on this form?

The Magnuson-Stevens Act requires the disclosure of any financial interest in harvesting, processing, lobbying, advocacy, or marketing activity that is being, or will be, undertaken within any fishery over which a Council has jurisdiction. This also includes employment with an entity that provides consulting, legal, or representational services relating to harvesting, processing, lobbying, advocacy, or marketing activities. You must disclose such financial interest held by yourself; your spouse, minor child, partner; or any organization (other than the Council) in which you are serving as an officer, director, trustee, partner, or employee. This does not include financial interests in entities engaging in scientific fisheries research.

When do I need to file my financial disclosure?

**Nominees:** This form must be filed by each nominee for Secretarial appointment with the Assistant Administrator by April 15 or, if nominated after March 15, 1 month after nomination by the Governor.

**Members:** A seated voting member appointed by the Secretary must file this form with the Executive Director of the appropriate Council within 45 days of taking office; and must file an update with the Executive Director of the appropriate Council within 30 days of the time any such financial interest is acquired or substantially changed. All Council members must file this form annually by February 1<sup>st</sup> regardless of whether any information on the form has changed.

**SSC Nominees and Members:** Nominees to an SSC must file this form with the Regional Administrator for the geographic area concerned within 45 prior to appointment; and must file an update with the Regional Administrator for the geographic area concerned within 30 days of the time any such financial interest is acquired or substantially changed. All SSC members must file this form annually by February 1<sup>st</sup> regardless of whether any information on the form has changed.

Questions?

If you have any questions about how to complete this form, please contact your Council Executive Director, NMFS Regional Office or the NOAA General Counsel for your Council.

## **PENALTIES**

Knowing and willful falsification of information required to be reported may subject you to criminal prosecution or subject you to civil penalties. It is unlawful for an affected individual to knowingly and willfully fail to disclose, or to falsely disclose, any financial interest as required by the Magnuson Stevens Act, or to knowingly vote on a Council decision in violation of this Act. In addition to the criminal penalties applicable, a violation of this provision may result in removal from Council or SSC membership.

# Nominee or Member's Name (Print last, first, middle initial) Banks, Kesley, G. Name of Council or Council Committee Gulf of Mexico Fishery Management Council Type of Appointment (list Nominee or Member) Member Type of Filing: Annual Supplemental Nominee

- 1. Carefully read the questions for Parts 1-3 on the following pages.
- 2. In Part 1, you must answer "yes" or "no" for each question provided. If you select "Yes," check the box by any applicable financial interest from the list below the question and provide the appropriate detail explaining your selection. If you need more room to provide detail, please attach those pages to this form.
- 3. In Part 2, you must answer "yes" or "no" for each question provided. If you select "Yes," check the box by any applicable financial interest from the list below the question and provide the appropriate detail explaining your selection. If you need more space to provide detail, please attach those pages to this form.
- 4. In Part 2, if you select "I have no spouse, partner, or minor children," you may skip the rest of Part 2 and move to Part 3. Answer Part 3, if necessary.
- 5. After you have completed the form, sign and date the form on the last page.
- 6. Submit the completed form to NMFS for Council nominees; to your Council Executive Director for seated Council members; or to the Regional Administrator for the geographic area concerned for SSC members or nominees.

### Paperwork Reduction Act

Notwithstanding any other provision of law, no person is required to respond to nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a currently valid OMB Control Number. The public reporting burden for this information, on this NOAA Form 88-195, is estimated to average 35 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any aspect of this collection of information, including suggestions for reducing this burden to the National Marine Fisheries Service, Office of the Chief Information Officer (F/CIO), 1315 East-West Highway, SSMC #3, 3<sup>rd</sup> Floor, Silver Spring, Maryland 20910.

### **Public Access to Information**

This form, as completed by seated members of the Regional Fishery Management Councils, will be retained by the Council, made available on the Council's websites, and made available for public review at reasonable hours at the Council's Office and at each public hearing or public meeting.

# Part 1: Personal Financial Interest

Section 1.1: Assets and Ownership
Question 1.1.1  Do you have any stock, equity or ownership interest (whole or partial ownership) in a company or business engaged in any of the following activities in any fishery under the jurisdiction of the Council concerned?  No Yes Check all that apply: Harvesting Processing Marketing Provides equipment essential to any of the above activities Provides other services essential to any of the above activities
Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the company or business. Please include company or business name, date the interest began, and fishery or fisheries of interest.
Question 1.1.2  Do you have stock, equity or ownership interest (whole or partial ownership) in any fishing vessel engaged in the following activities in any fishery under the jurisdiction of the Council concerned?  No  Yes  Check all that apply:  Harvesting Processing Marketing Provides equipment essential to any of the above activities Provides other services essential to any of the above activities
Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the vessel. Please include vessel name, company or business name, date the interest began, gear type and fishery or fisheries of interest.  I am co-owner of the two vessels used in my husband's charter business, Blue Water Excursions Port Aransas, LLC. He runs private offshore charters on a federally permitted 36' TwinVee named F/V Blue Water Excursions. Inshore charters are run on a 20' Boatwright.

-	on 1.1.3	erest (whole or partial ownership) in any other entity engaged in the following
	s in any fishery under the jurisdiction	
⊚	No	
0	Yes	
	Check all that apply:	
	☐ Harvesting	
	☐ Processing	
	☐ Marketing	
		tial to any of the above activities
	☐ Provides other services ess	ential to any of the above activities
share l		terest, as indicated above. Please include the percentage ownership or n-kind enumeration of the entity. Please include entity name, date the interest.
estion 1.		
		rest (whole or partial) in a company, business, or other entity that provides any o e jurisdiction of the Council concerned?
		gunsalction of the council concerned?
<b>⊚</b>		
0		
	Check all that apply:   Consulti	ng
	☐ Lobbying ☐ Legal	ntational Comicos
f		ntational Services
share h		terest, as indicated above. Please include the percentage ownership or n-kind enumeration of the entity. Please include entity name, date the interest.
Questic	on 1.1.5	
Do you h	ave have any stock, equity or owner	ship interest in any entity that either owns (wholly or partially) or is owned
		provides any of the following services for any fishery under the jurisdiction of
_	icil concerned?	
•	No	
	Yes	
0	Yes Check all that apply:	
0		☐ Harvesting
0	Check all that apply:	☐ Harvesting ☐ Processing
0	Check all that apply:  Consulting Legal Representational Services	□ Processing □ Marketing
0	Check all that apply:  ☐ Consulting ☐ Legal ☐ Representational Services ☐ Lobbying	<ul> <li>□ Processing</li> <li>□ Marketing</li> <li>□ Provides equipment essential to any of the listed activities</li> </ul>
0	Check all that apply:  Consulting Legal Representational Services	□ Processing □ Marketing

Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the entity. Please include company or business name, date the interest began, and fishery or fisheries of interest.
Section 1.2: Employment
Question 1.2.1  Do you have employment with any company or business engaged in the following activities in any fishery under the jurisdiction of the Council concerned?
● No
<ul> <li>✓ Yes</li> <li>Check all that apply:</li> <li>☐ Harvesting ☐ Provides equipment essential to any of the listed activities</li> <li>☐ Processing ☐ Provides other services essential to any of the listed activities</li> <li>☐ Marketing</li> </ul>
employment, company or business name and fishery or fisheries of interest.
Question 1.2.2  Do you have employment with a <b>fishing vessel</b> engaged in the following activities in any fishery under the jurisdiction of the Council concerned?   No  O Yes
Check all that apply:  Harvesting Processing Marketing Provides equipment essential to any of the above activities Provides other services essential to any of the above activities
Please list and describe each applicable interest, as indicated above. Please include beginning date of employment, vessel name, gear type and fishery or fisheries of interest.  I am co-owner of the two vessels used in my husband's charter business, Blue Water Excursions Port Aransas, LLC, but I am not employed by the business. He runs private offshore charters on a federally permitted 36' TwinVee named F/V Blue Water Excursions. Inshore charters are run on a 20' Boatwright.

Quest Do you the Cou	have	emp	oloyment with a	iny other er	ntity	engaged in the following activities in any fishery under the jurisdiction of	F
	No						
Õ	Yes						
		ck ai	Il that apply:				
			Harvesting				
			Processing				
			Marketing				
						to any of the above activities	
			Provides other	services es	sent	ial to any of the above activities	
						rest, as indicated above. Please include beginning date of neries of interest.	
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Questi							
			der the jurisdict		-	usiness, or other entity that provides any of the following services related and concerned?	1
	No.	uin	ues the jurisdict	ion or the c	.ouii	ich concerneur	
	Yes		al				
Check a	n tnat		Lobbying	Consulting			
			Advocacy -	Legal			
			<u> </u>	Representa	atio	nal Services	
						est, as indicated above. Please include beginning date of eries of interest.	
Questi	on 1	.2.5	5				
Do you l	have (	emp	loyment with a	ny entity th	at e	either owns (wholly or partially) or is owned by (wholly or partially)	
another	entit	y pr	oviding any of t	he followin:	g se	rvices, or providing equipment or services essential to harvesting,	
orocess	ing, lo	bby	ing, advocacy, o	or marketing	g ac	tivities, in any fishery under the jurisdiction of the Council concerned?	
⊚	No						
0	Yes						
	Chec	k al	l that apply:				
			Consulting			Harvesting	
			Legal			Processing	
			Representation	ial Services		Marketing	
			Provides equip	ment essen	tial	to any of the listed activities	

☐ Provides other services essential to any of the listed activities

employment,	d describe each applicable interest, as indicated above. Please include beginning date of entity name and fishery or fisheries of interest.
uestion 1.2.	6
	ployment with an association or organization whose members include companies, vessels, or other
tities engaged	in any of the following activities in any fishery under the jurisdiction of the Council concerned?
No	
O Yes	
Check a	Il that apply:
	Harvesting
	Processing
	Lobbying
	Advocacy
	Marketing  Provides equipment essential to any of the above activities
	Provides other services essential to any of the above activities
	Frovides Other services essential to any or the above activities
Please list an	d describe each applicable interest, as indicated above. Please include beginning date of
	association name and fishery or fisheries of interest.
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	Extension Pending
Part 2: Fin	ancial Interest of Spouses, Partners, and Minor Children
If you have no	spouse, partner, or minor children, check the box below and proceed to Part 3.  I have no spouse, partner, or minor children
Section 2.1:	Assets and Ownership
company or bus concerned? O No • Yes Check of	se, partner, or minor child have any stock, equity or ownership interest (whole or partial ownership) in siness engaged in any of the following activities in any fishery under the jurisdiction of the Council will that apply:  Harvesting  Processing  Marketing  Provides equipment essential to any of the above activities  Provides other services essential to any of the above activities
share holding company or b My husband offshore cha	d describe each applicable interest, as indicated above. Please include the percentage ownership or so or any other monetary or in-kind enumeration of the company or business. Please include usiness name, date the interest began, and fishery or fisheries of interest.  d owns 100% of Blue Water Excursions Port Aransas, LLC. He runs private arters on a federally permitted 36' TwinVee named F/V Blue Water Inshore charters are run on a 20' Boatwright.
vessel engaged i  No Yes	2 e, partner, or minor child have any stock, equity or ownership interest (whole or partial) in any fishing in the following activities in any fishery under the jurisdiction of the Council concerned?  If that apply: Harvesting Processing Marketing Provides equipment essential to any of the above activities Provides other services essential to any of the above activities
share holding company or b	I describe each applicable interest, as indicated above. Please include the percentage ownership or so rany other monetary or in-kind enumeration of the vessel. Please include vessel name, usiness name, date the interest began, gear type and fishery or fisheries of interest.  a federally permitted 36' TwinVee named F/V Blue Water Excursions and a ght.

Question 2.1.3
Does your spouse, partner, or minor child have any stock, equity or ownership interest (whole or partial) in any other entity engaged in the following activities in any fishery under the jurisdiction of the Council concerned?
No
O Yes
Check all that apply:
☐ Harvesting
☐ Processing
☐ Marketing
☐ Provides equipment essential to any of the above activities
☐ Provides other services essential to any of the above activities
Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the entity. Please include entity name, date the interest began, and fishery or fisheries of interest.
Question 2.1.4 Does your spouse, partner, or minor child have any stock, equity or ownership interest (wholly or partially) in a company, business, or other entity that provides any of the following services for any fishery under the jurisdiction of the Council concerned?  No
O Yes
Check all that apply:
☐ Consulting
☐ Legal
☐ Representational Services
☐ Lobbying ☐ Advocacy
☐ Marketing
Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the entity. Please include entity name, date the interest began, and fishery or fisheries of interest.
Section 2.2 Employment of Spouse, Partner, or Minor Child
Question 2.2.1
Does your spouse, partner, or minor child have employment with any <b>company or business</b> engaged in the following
activities in any fishery under the jurisdiction of the Council concerned?
O No
Yes
Check all that apply:
Harvesting
☐ Processing
☐ Marketing
☐ Provides equipment essential to any of the above activities
Provides other services essential to any of the above activities

Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of employment, company or business name and fishery or fisheries of interest.

My husband owns 100% of Blue Water Excursions Port Aransas, LLC. He runs private offshore charters on a federally permitted federally permitted 36' TwinVee named F/V Blue Water Excursions. Inshore charters are run on a 20' Boatwright.

Blue Water Excursions. Inshore charters are run on a 20' Boatwright.
Question 2.2.2
Does your spouse, partner, or minor child have employment with a fishing vessel engaged in the following activities in
any fishery under the jurisdiction of the Council concerned?
O No
Yes
Check all that apply:
<ul><li>Harvesting</li><li>Processing</li></ul>
☐ Marketing
Provides equipment essential to any of the above activities
Provides other services essential to any of the above activities
Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of employment, vessel name, gear type and fishery or fisheries of interest.
My husband owns 100% Blue Water Excursions Port Aransas, LLC. He runs private offshore charters on a federally permitted 36' TwinVee named F/V Blue Water Excursions. Inshore charters are run on a 20' Boatwright. He ocassionally works with other charter and headboat companies as a contract second captain on overnight trips, including
Question 2.2.3
Does your spouse, partner, or minor child have employment with any other entity engaged in the following activities in
any fishery under the jurisdiction of the Council concerned?
No     No
O Yes
Check all that apply:
☐ Harvesting ☐ Processing
☐ Provides equipment essential to any of the above activities
☐ Provides other services essential to any of the above activities
Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of employment, entity name and fishery or fisheries of interest.
Question 2.2.4
Ooes your spouse, partner, or minor child have employment with <mark>any company, business, or other entity</mark> that provide
any of the following services related to any fishery under the jurisdiction of the Council concerned?
● No
O Yes
Check all that apply:  Consulting
☐ Lobbying ☐ Legal ☐ Advocacy ☐ Representational Services

Please list person and relationship and describe each applicable interest, as indicated above. Please include

beginning date of employment, entity name and fishery or fisheries of interest.

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	No			Harvesting
	Yes			Processing
		ill that apply:		Lobbying
		Consulting		Advocacy Marketing
		Legal		Provides equipment essential to any of the listed activities
		Representational Serv		Provides other services essential to any of the listed activities
-	on 2.2		nild have em	plovment with an association or organization whose members include
oes yo ompan	ur spous	e, partner, or minor ch els, or other entities er		aployment with <b>an association or organization</b> whose members includ by of the following activities in any fishery under the jurisdiction of the
oes yo ompan Council	ur spous ies, vess	e, partner, or minor ch els, or other entities er		
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oes yo ompan Council	our spous lies, vess concern No Yes Check o	ie, partner, or minor chels, or other entities ered?  all that apply:  Harvesting	ngaged in ar onsulting gal epresentatio	ny of the following activities in any fishery under the jurisdiction of the

# Section 2.3: Service with an association or organization by Spouse, Partner, or Minor Child

whose member under the jurisc		child <b>serve as an officer, director, or trustee</b> of any organization or association vessels, or other entities engaged in any of the following activities in any fishery concerned?
No     No		
O Yes		
	all that apply:	
		☐ Consulting
	Processing	□ Legal
		☐ Representational Services
_	Marketing	
		t essential to any of the above activities
L	Provides other serv	rices essential to any of the above activities
		o and describe each applicable interest, as indicated above. Please include name and fishery or fisheries of interest.
Dant 2. Inf	ormation Doc	wasted of Other Darsons
raits.iiii	vi manun neg	uested of Other Persons
	-	ed information, including holdings placed in trust, is not known to
		person, you should request that other person to submit the and should report such request in the space below.
		person, you should request that other person to submit the and should report such request in the space below.
Please report and describe e	on your behalf ar to whom you have re each applicable inter-	
Please report and describe a monetary or it	on your behalf ar to whom you have re each applicable inter- n-kind enumeration. e statements I have i	equested information for Part 3. When available, have that person please list est. Please include the percentage ownership or share holdings or any other Please include entity name, date the interest began, and fisheries of interest.
Please report and describe a monetary or it	on your behalf ar to whom you have re each applicable inter- n-kind enumeration.	equested information for Part 3. When available, have that person please list est. Please include the percentage ownership or share holdings or any other Please include entity name, date the interest began, and fisheries of interest.