# Statement of Financial Interests For Regional Fishery Management Council Voting Members, Nominees and Members of a Scientific and Statistical Committee

Who needs to file a financial disclosure?

You, because you were nominated by the Governor of a state or appointed by the Secretary of Commerce to serve as a voting member of a Regional Fishery Management Council (Council); or you are a nominee to or a member of a Scientific and Statistical Committee (SSC).

What is the purpose of a financial disclosure?

The duties and responsibilities of your position require you to file the Statement of Financial Interests to fulfill the requirements of the Magnuson-Stevens Act. The purpose of this report is to assist you and NMFS in avoiding conflicts between official duties and private financial interests or affiliations. You may not vote on any Council decision that would have a significant and predictable effect on a financial interest disclosed in your report. Under penalty of law, please ensure that the information you provide is complete and accurate.

What must I disclose on this form?

The Magnuson-Stevens Act requires the disclosure of any financial interest in harvesting, processing, lobbying, advocacy, or marketing activity that is being, or will be, undertaken within any fishery over which a Council has jurisdiction. This also includes employment with an entity that provides consulting, legal, or representational services relating to harvesting, processing, lobbying, advocacy, or marketing activities. You must disclose such financial interest held by yourself; your spouse, minor child, business partner; or any organization (other than the Council) in which you are serving as an officer, director, trustee, business partner, or employee. This does not include financial interests in entities engaging in scientific fisheries research.

When do I need to file my financial disclosure?

**Nominees:** This form must be filed by each nominee for Secretarial appointment with the Assistant Administrator by April 15 or, if nominated after March 15, 1 month after nomination by the Governor.

**Members:** A seated voting member appointed by the Secretary must file this form with the Executive Director of the appropriate Council within 45 days of taking office; and must file an update with the Executive Director of the appropriate Council within 30 days of the time any such financial interest is acquired or substantially changed. All Council members must file this form annually by February 1<sup>st</sup> regardless of whether any information on the form has changed.

**SSC Nominees and Members:** Nominees to an SSC must file this form with the Regional Administrator for the geographic area concerned within 45 prior to appointment; and must file an update with the Regional Administrator for the geographic area concerned within 30 days of the time any such financial interest is acquired or substantially changed. All SSC members must file this form annually by February 1<sup>st</sup> regardless of whether any information on the form has changed.

Questions?

If you have any questions about how to complete this form, please contact your Council Executive Director, NMFS Regional Office or the NOAA General Counsel for your Council.

### **PENALTIES**

Knowing and willful falsification of information required to be reported may subject you to criminal prosecution or subject you to civil penalties. It is unlawful for an affected individual to knowingly and willfully fail to disclose, or to falsely disclose, any financial interest as required by the Magnuson Stevens Act, or to knowingly vote on a Council decision in violation of this Act. In addition to the criminal penalties applicable, a violation of this provision may result in removal from Council or SSC membership.

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(1110)
Name of Council or Council Committee GUCF COUNCIL
Type of Appointment (list Nominee or Member)
Type of Filing: Annual   Supplemental   Nominee
Instructions

- 1. Carefully read the questions for Parts 1-3 on the following pages.
- In Part 1, you must answer "yes" or "no" for each question provided. If you select "Yes," check the box by any applicable financial interest from the list below the question and provide the appropriate detail explaining your selection. If you need more room to provide detail, please attach those pages to this form.
- 3. In Part 2, you must answer "yes" or "no" for each question provided. If you select "Yes," check the box by any applicable financial interest from the list below the question and provide the appropriate detail explaining your selection. If you need more space to provide detail, please attach those pages to this form.
- 4. In Part 2, if you select "I have no spouse, business partner, or minor children," you may skip the rest of Part 2 and move to Part 3. Answer Part 3, if necessary.
- 5. After you have completed the form, sign and date the form on the last page.
- Submit the completed form to NMFS for Council nominees; to your Council Executive Director for seated Council members; or to the Regional Administrator for the geographic area concerned for SSC members or nominees.

#### **Paperwork Reduction Act**

Notwithstanding any other provision of law, no person is required to respond to nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a currently valid OMB Control Number. The public reporting burden for this information, on this NOAA Form 88-195, is estimated to average 35 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any aspect of this collection of information, including suggestions for reducing this burden to the National Marine Fisheries Service, Office of the Chief Information Officer (F/CIO), 1315 East-West Highway, SSMC #3, 3<sup>rd</sup> Floor, Silver Spring, Maryland 20910.

#### **Public Access to Information**

This form, as completed by seated members of the Regional Fishery Management Councils, will be retained by the Council, made available on the Council's websites, and made available for public review at reasonable hours at the Council's Office and at each public hearing or public meeting.

### Part 1: Personal Financial Interest

Section 1.1: Assets and Ownership	Section	1.1:	<b>Assets</b>	and	Own	ership	0
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Section 1.1: Ass	ets and Ownership
No O Yes Check all the	vesting cessing
share holdings or o	cribe each applicable interest, as indicated above. Please include the percentage ownership or any other monetary or in-kind enumeration of the company or business. Please include ess name, date the interest began, and fishery or fisheries of interest.
in any of the following  No  Yes  Check all that apply:  Hai  Pro  Ma	vesting cessing rketing vides equipment essential to any of the listed activities other services essential to any of the listed activities
share holdings or	cribe each applicable interest, as indicated above. Please include the percentage ownership or any other monetary or in-kind enumeration of the vessel. Please include vessel name, ess name, date the interest began, gear type and fishery or fisheries of interest.

-...-

Question	Question 1.1.3						
Do you have any stock, equity or ownership interest (whole or partial ownership) in any other entity engaged in any of							
the following activities in any fishery under the jurisdiction of the Council concerned?							
O_No							
O Yes							
Che		ll that apply:					
		Harvesting					
		Processing					
		Marketing		A:-  A.	of the listed activities		
					o any of the listed activities al to any of the listed activities		
		riovides others	er vices es.	CITTIC	arto any or the listed activities		
share hold	lings		netary or	in-kir	st, as indicated above. Please include the percentage ownership or and enumeration of the entity. Please include entity name, date the rest.		
provides any No Yes	of t	he following servi	Consult	y fish ing	rest (whole or partial) in a company, business, or other entity that ery under the jurisdiction of the Council concerned?		
share hold	lings		netary or i	n-kin	st, as indicated above. Please include the percentage ownership or and enumeration of the entity. Please include entity name, date the test.		
Question	1 1 1	5					
			ownershii	o inte	erest in any entity that either owns (wholly or partially) or is owned by		
					ged in or that provides any of the following activities or services for any		
		jurisdiction of th					
Ø No							
O Yes							
		I that apply:					
		Consulting			Harvesting		
		Legal			Processing		
		Representationa	l Services				
		Lobbying			Provides equipment essential to any of the listed activities		
		Advocacy			Provides other services essential to any of the listed activities		

-....

Expiration Date 8/31/26

share holding	describe each applicable interest, as indicated above. Please include the percentage ownership or sor any other monetary or in-kind enumeration of the entity. Please include company or business e interest began, and fishery or fisheries of interest.
Section 1.2:	Employment
	1 <b>Doloyment</b> with any <b>company or business</b> engaged in any of the following activities in any fishery ction of the Council concerned?
O Yes	
	Harvesting Provides equipment essential to any of the listed activities  Processing Provides other services essential to any of the listed activities  Marketing
	describe each applicable interest, as indicated above. Please include beginning date of company or business name, and fishery or fisheries of interest.
	2 bloyment with a <b>fishing vessel</b> engaged in any of the following activities in any fishery under the e Council concerned?
Check all that ap	Harvesting Processing Marketing Provides equipment essential to any of the listed activities Provides other services essential to any of the listed activities
The state of the s	describe each applicable interest, as indicated above. Please include beginning date at, vessel name, gear type, and fishery or fisheries of interest.

	.2.3
	employment with any other entity engaged in any of the following activities in any fishery under the
jurisdiction o	f the Council concerned?
Q No	
O Yes	
Chec	k all that apply:
	□ Harvesting
	□ Processing
	□ Marketing
	Provides equipment essential to any of the listed activities
	<ul> <li>Provides other services essential to any of the listed activities</li> </ul>
Please list	and describe each applicable interest, as indicated above. Please include beginning date
	ment, entity name, and fishery or fisheries of interest.
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Question 1	2.4
	employment with any company, business, or other entity that provides any of the following services for any
	the jurisdiction of the Council concerned?
No No	
O Yes	
	t anniu.
Check all tha	Conculting
Check all tha	Lobbying Consulting
Check all tha	□ Lobbying □ Consulting
	□ Lobbying □ Legal □ Representational Services
Please list	Lobbying Legal
Please list	□ Lobbying □ Legal □ Representational Services  and describe each applicable interest, as indicated above. Please include beginning date
Please list	□ Lobbying □ Legal □ Representational Services  and describe each applicable interest, as indicated above. Please include beginning date
Please list	□ Lobbying □ Legal □ Representational Services  and describe each applicable interest, as indicated above. Please include beginning date
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Please list	□ Lobbying □ Legal □ Representational Services  and describe each applicable interest, as indicated above. Please include beginning date
Please list	Lobbying Legal Representational Services  and describe each applicable interest, as indicated above. Please include beginning date ment, entity name, and fishery or fisheries of interest.
Please list of employs	Lobbying Legal Representational Services  and describe each applicable interest, as indicated above. Please include beginning date ment, entity name, and fishery or fisheries of interest.
Please list of employn Question 1 Do you have	Lobbying Legal Representational Services  and describe each applicable interest, as indicated above. Please include beginning date ment, entity name, and fishery or fisheries of interest.
Please list of employs  Question 1  Do you have another entit	Lobbying Legal Representational Services  and describe each applicable interest, as indicated above. Please include beginning date ment, entity name, and fishery or fisheries of interest.  2.5 employment with any entity that either owns (wholly or partially) or is owned by (wholly or partially)
Please list of employs  Question 1  Do you have another entit	Lobbying Legal Representational Services  and describe each applicable interest, as indicated above. Please include beginning date ment, entity name, and fishery or fisheries of interest.  2.5  employment with any entity that either owns (wholly or partially) or is owned by (wholly or partially) by that is engaged in or that provides any of the following activities or services for any fishery under the
Please list of employs  Question 1  Do you have another entition	Lobbying Legal Representational Services  and describe each applicable interest, as indicated above. Please include beginning date ment, entity name, and fishery or fisheries of interest.  2.5  employment with any entity that either owns (wholly or partially) or is owned by (wholly or partially) by that is engaged in or that provides any of the following activities or services for any fishery under the
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Question 1 Do you have another entificity in the property of t	Lobbying
Question 1 Do you have another entificity in the property of t	Lobbying

		olicable interest, as indicated above. Please include beginning date d fishery or fisheries of interest.
Question 1.2.		
		association or organization whose members include companies, vessels, or ny of the following activities or services for any fishery under the jurisdiction
of the Council co		my of the following activities of services for any fishery under the jurisdiction
No		
O Yes		
Check a	ll that apply:	
	Harvesting	Consulting
	Processing	Legal
	Lobbying	Representational Services
	Advocacy Marketing	
		ent essential to any of the listed activities
	5 5	rvices essential to any of the listed activities
		plicable interest, as indicated above. Please include beginning date ne, and fishery or fisheries of interest.
Section 1.3:	Other Service	with an association or organization
Question 1.3.	1	
vessels, or other the jurisdiction o No		cor, or trustee of any organization or association whose members include companies, agaged in or provide any of the following activities or services for any fishery under erned?
O Yes	I that analys	
	I that apply: Harvesting	Consulting
	Processing	Legal
	Lobbying	Representational Services
	Advocacy	THE PARTY AND TH
	Marketing	
		ent essential to any of the listed activities
	Provides other sei	rvices essential to any of the listed activities
	describe each app nd fishery or fishei	licable interest, as indicated above. Please include beginning date of service, ries of interest.

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Dant 2	Financial Intere	ct of Snousas	Rucinace Partn	ore and Mino	r Children
Part 4	rillalitiai ilitele	St of Spouses	, Dusiness I ai ui	icis, and mino	1 Cilliui Cil

If you have no spouse, business partner, or minor children, check the box below and proceed to Part 3.  I have no spouse, business partner, or minor children
Section 2.1: Assets and Ownership
Question 2.1.1  Does your spouse, business partner, or minor child have any stock, equity or ownership interest (whole or partial ownership) in a company or business engaged in any of the following activities for any fishery under the jurisdiction of the council concerned?  No  Yes  Check all that apply:  Harvesting Processing Marketing Provides equipment essential to any of the listed activities Provides other services essential to any of the listed activities
Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the company or business. Please include company or business name, date the interest began, and fishery or fisheries of interest.
Question 2.1.2  Does your spouse, business partner, or minor child have any stock, equity or ownership interest (whole or partial) in any fishing vessel engaged in any of the following activities for any fishery under the jurisdiction of the Council concerned?  No  Yes  Check all that apply:  Harvesting Processing Marketing Provides equipment essential to any of the listed activities Provides other services essential to any of the listed activities
Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the vessel. Please include vessel name, company or business name, date the interest began, gear type, and fishery or fisheries of interest.

Question 2.1.3  Does your spouse, business partner, or minor child have any stock, equity or ownership interest (whole or partial) in any other entity engaged in any of the following activities for any fishery under the jurisdiction of the Council concerned?  No
O Yes
Check all that apply:
☐ Harvesting ☐ Processing
☐ Marketing
Provides equipment essential to any of the listed activities
Provides other services essential to any of the listed activities
Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the entity. Please include entity name, date the interest began, and fishery or fisheries of interest.
Question 2.1.4  Does your spouse, business partner, or minor child have any stock, equity or ownership interest (wholly or partially) in a company, business, or other entity that provides any of the following services for any fishery under the jurisdiction of the Council concerned?
Ŏ No
O Yes
Check all that apply:
☐ Consulting
<ul><li>□ Legal</li><li>□ Representational Services</li></ul>
□ Lobbying
□ Advocacy
Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the entity. Please include entity name, date the interest began, and fishery or fisheries of interest.
Question 2.1.5
Does your spouse, business partner, or minor child have any stock, equity or ownership interest in any entity that
either owns (wholly or partially) or is owned by (wholly or partially) another entity that is engaged in or that
provides any of the following activities or services for any fishery under the jurisdiction of the Council concerned?
Q No
O' Yes
Check all that apply:  ☐ Consulting ☐ Harvesting
☐ Consulting ☐ Harvesting ☐ Legal ☐ Processing
☐ Representational Services ☐ Marketing
☐ Lobbying ☐ Provides equipment essential to any of the listed activities
Advocacy Provides other services essential to any of the listed activities
Trovides other services essential to any or the instead detricties
Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the entity. Please include entity name, date the

### Section 2.2 Employment of Spouse, Business Partner, or Minor Child

Section 2.2 Employment of Spouse, Business I at their, or Minor Child
Question 2.2.1  Does your spouse, business partner, or minor child have employment with any company or business engaged in any of the following activities for any fishery under the jurisdiction of the Council concerned?  No  Yes  Check all that apply:  Harvesting  Processing  Marketing  Provides equipment essential to any of the listed activities  Provides other services essential to any of the listed activities  Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of employment, company or business name, and fishery or fisheries of interest.
Does your spouse, business partner, or minor child have employment with a fishing vessel engaged in any of the following activities for any fishery under the jurisdiction of the Council concerned?  No  Yes  Check all that apply:  Harvesting  Processing  Marketing  Provides equipment essential to any of the listed activities  Provides other services essential to any of the listed activities
Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of employment, vessel name, gear type, and fishery or fisheries of interest.
Question 2.2.3  Does your spouse, business partner, or minor child have employment with any other entity engaged in any of the following activities for any fishery under the jurisdiction of the Council concerned?  No  Yes  Check all that apply:  Harvesting Processing  Marketing  Provides equipment essential to any of the listed activities  Provides other services essential to any of the listed activities
Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of employment, entity name, and fishery or fisheries of interest.

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O Yes					
	all that apply:		Consulting		
	N 10 122721 (3)AU A		Legal		
	Advocacy		Representa	tio	nal Services
Please list per beginning dat	son and relation of employme	onshi ent, e	ip and descri entity name,	be an	each applicable interest, as indicated above. Please include d fishery or fisheries of interest.
uestion 2.2	.5			-	
oes your spou	se, business pa				have employment with any entity that either owns (wholly or her entity engaged in or that provides any of the following activities
					the Council concerned?
D No					Harvesting
No					Processing
Yes					Lobbying
Charles					
	all that apply:				Advocacy
	Consulting				Marketing
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Please list pe	Consulting Legal Representati	ionsh	Services	ibe	Marketing Provides equipment essential to any of the listed activities Provides other services essential to any of the listed activities  each applicable interest, as indicated above. Please include
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## <u>Section 2.3: Service with an association or organization by Spouse, Business Partner, or Minor Child</u>

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association who	se, business partner, o	or minor child serve as an officer, director, or trustee of any organization or ompanies, vessels, or other entities engaged in any of the following activities in any ouncil concerned?
	Processing Lobbying Advocacy Marketing Provides equipment	☐ Consulting ☐ Legal ☐ Representational Services  t essential to anv of the listed activities ices essential to any of the listed activities
		and describe each applicable interest, as indicated above. Please include ame, and fishery or fisheries of interest.
Part 3: Inf	ormation Req	uested of Other Persons
ownership, i	s not known to yo	ed information, including holdings placed in trust or percentage ou but is known to another person, you should request that other tion on your behalf and should report such request in the space
and describe	each applicable intere	equested information for Part 3. When available, have that person please list est. Please include the percentage ownership or share holdings or any other Please include entity name, date the interest began, and fisheries of interest.

### **Signature**

I certify that the statements I have made on this form and all attached statements are true, complete, and correct to the best of my knowledge.

Signature	R	Date (mm/dd/yyyy)	01	29/	2024
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